

DOCKET NO.: OPGA-0002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Joseph T. Friel, et al.

Serial No.: 10/079,011

Group Art Unit: 2644

Filing Date: February 18, 2002

Examiner: Not Yet Assigned

For: AUDIO SYSTEM FOR VEHICLE WITH BATTERY-BACKED STORAGE

DATE OF DEPOSIT: May 23, 2002

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

Michael P. Dunnam

TYPED NAME: Michael P. Dunnam
REGISTRATION NO.: 32,611

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).



In accordance with §1.97(b), since this Information Disclosure Statement is being

filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first office action after the filing of request for continued examination under §1.114, no additional fee is required.

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- ☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐the first or ☐second After Final Submission, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
- ☐ The fee of \$180.00 as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
- ☐ The fee of \$180.00 as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); and the submission fee of \$180.00 as set forth in §1.17(p).
- ☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:
- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

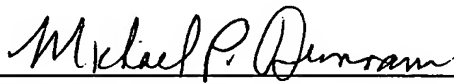
- ☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date: *May 23, 2002*



Michael P. Dunnam
Registration No. 32,611

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Sheet 1 of 2

Form PTO-1449 Modified

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Docket No.
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Serial No.
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Applicant
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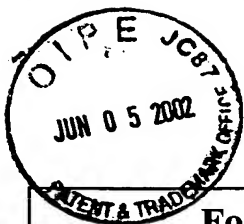
Filing Date
February 18, 2002

Group
2644

RECEIVED**JUN 10 2002****Technology Center 2600****OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

AA	www.ssiamerica.com/products/neo35 , SSI America-Neo Home Jukebox-MP3 Player, 2 pages
AB	www.simpledevices.com , Home Page, "Experience the Best of the Internet", 1 page
AC	www.simpledevices.com , Products/Services, "A platform for delivering content beyond the PC", 1 page
AD	www.simpledevices.com , SimpleAuto, "Wireless, digital audio receiver for the car", 2 pages
AE	www.simpledevices.com , Neo CarJukebox, "The In-Car Audio Jukebox, 1 page
AF	www.simpledevices.com , Neo CarJukebox, "Neo Car Jukebox MP3 Player" 2 pages

EXAMINER**DATE CONSIDERED**

**Form PTO-1449 Modified**

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U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	AG	5,633,837	05/27/97	Gantt	369	7
	AH	5,671,195	09/23/97	Lee	369	7
	AI	5,732,324	03/24/98	Rieger, III	455	3.1
	AJ	5,742,893	04/21/98	Frank	455	66
	AK	6,249,106	06/19/01	Turner, et al.	320	136

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES NO	

EXAMINER**DATE CONSIDERED**